

A decorative background at the top of the slide featuring a network diagram with red and black nodes connected by lines, set against a light red and white background.

# The Impact of Social Sciences and Humanities on Society

14-16 October 2020, Ottawa

13.45pm – 15.00pm

## SSH, Technological Developments & Society

*Brent Barron (Chair) - CIFAR*

*Carolyn Watters – National Research Council Canada*

*Emile Aarts – Tilburg University*

# Responsible AI

## *Nexus of development and social impact*

**Dr. Carolyn Watters**  
**Chief Digital Research Officer**  
**National Research Council Canada**

**14 October 2020**



# Overview

1. **Responsible AI frameworks**
2. **Stakeholder engagement**
3. **Next steps**

# But first, some definitions. . .

## Artificial Intelligence

**Simulation** of human intelligence using algorithms, including use of machine learning, reasoning through rules, and self-correction through feedback.

## Augmented Intelligence

**Complementing** human intelligence in comprehending and deriving solutions to situations that would otherwise be too complex to resolve in the time available.

## Machine Learning

**Application** of AI to data to improve algorithm outcomes without being explicitly programmed.

## What do we mean by “responsible AI”?

Responsible AI is grounded in the **human-centric** principle of **accountability**.

Organizations & people **developing, deploying, or using** AI systems are accountable for **harm** caused by AI.

Governments are responsible for **protecting** the best interests of citizens.

# Responsible AI in the Canadian context

## *Canada's Digital Charter* Principles

1. Universal Access
2. Safety & Security
3. Control & Consent
4. Transparency, Portability & Interoperability
5. Open & Modern Digital Government
6. A Level Playing Field
7. Data & Digital for Good
8. Strong Democracy
9. Free from Hate & Violent Extremism
10. Strong Enforcement & Real Accountability

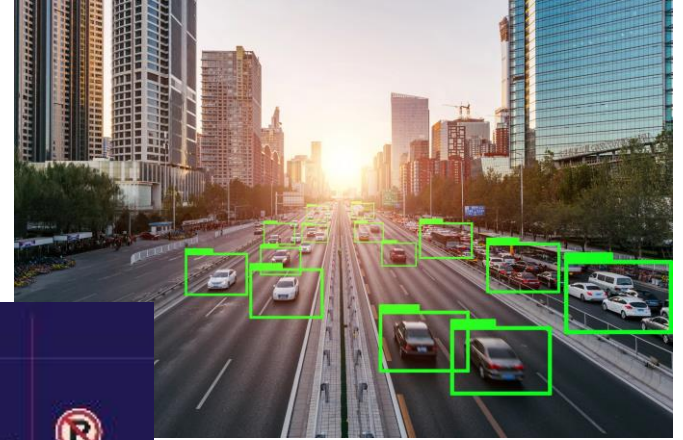
[https://www.ic.gc.ca/eic/site/062.nsf/eng/h\\_00109.html](https://www.ic.gc.ca/eic/site/062.nsf/eng/h_00109.html)

## *Responsible AI: A Global Policy Framework* Responsible AI Principles

1. Ethical purpose & societal benefit
2. Accountability
3. Transparency & explainability
4. Fairness & non-discrimination
5. Safety & reliability
6. Open data & fair competition
7. Privacy
8. AI & Intellectual Property

International Technology Law Association. <https://www.itechlaw.org/ResponsibleAI>

# Why do we need a responsible AI framework?



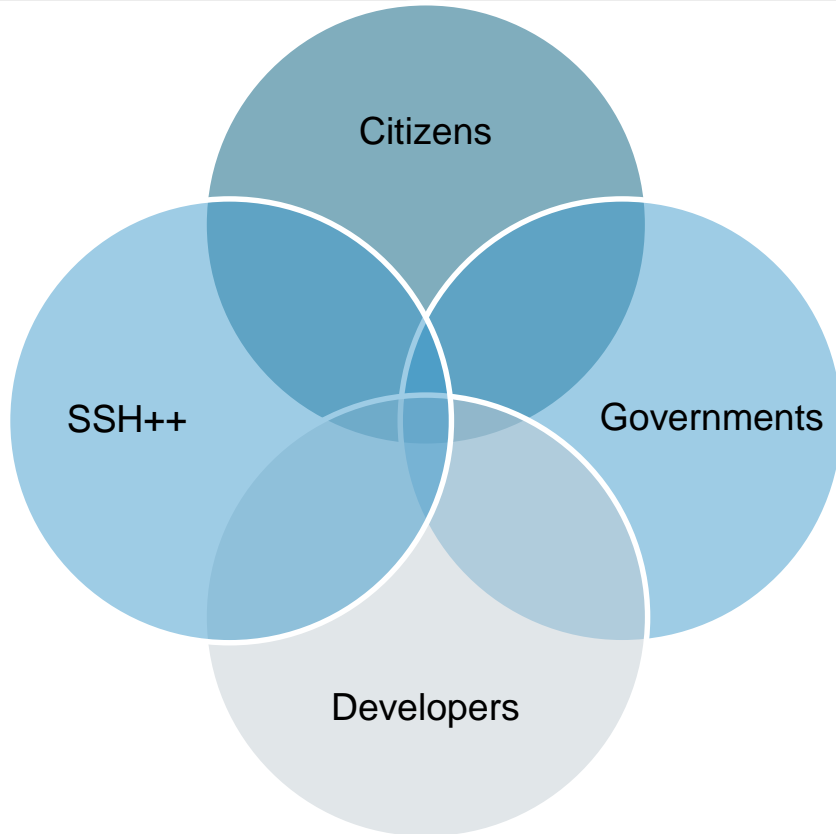
*Are self-driving cars a benefit?*



# Responsible AI

- Which **values** are considered? Whose **priorities**?
- How do we deal with **dilemmas**?
- How do we identify and measure **effects**, both intended and unintended?
  
- Who **participates** in these discussions?
- Who is **responsible for leading** these discussions?
- Who is responsible for **regulation**?

# Who are the stakeholders?



## How do they influence responsible AI?

- Inform technology development and deployment
- Provide human perspective of technology impact
- Provide regulatory frameworks & policy
- Develop systems that have accountability



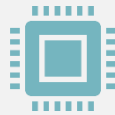
## Role of SSH



Multidisciplinary Perspectives



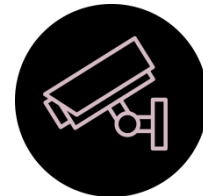
Stewardship role on human-centric impact and potential



Co-partners in AI design and oversight functions

# Questions we can address collectively

1. How do we distribute the wealth created by algorithms fairly?
2. How do machines affect our behaviour and personal interaction?
3. Technical nudging? How does intentional direction of human attention to trigger certain actions change society and, possibly, values?
4. How do we eliminate AI bias in direct interactions with algorithms?
5. Can we identify classes of artificial intelligence that have potential for positive change for citizens.



# THANK YOU

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# AESIS

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# IMPACT OF SOCIAL SCIENCES AND HUMANITIES 2020

Online, hosted from Ottawa, Canada

14 – 16 October 2020

# ELSA Labs

## A Team Science-Based Approach to Human-Centric AI Innovation

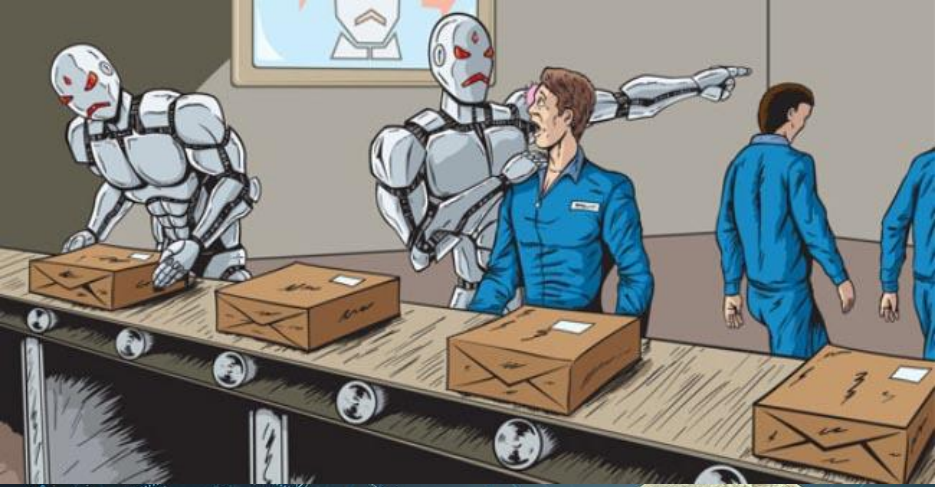
Emile Aarts

October 14 2020



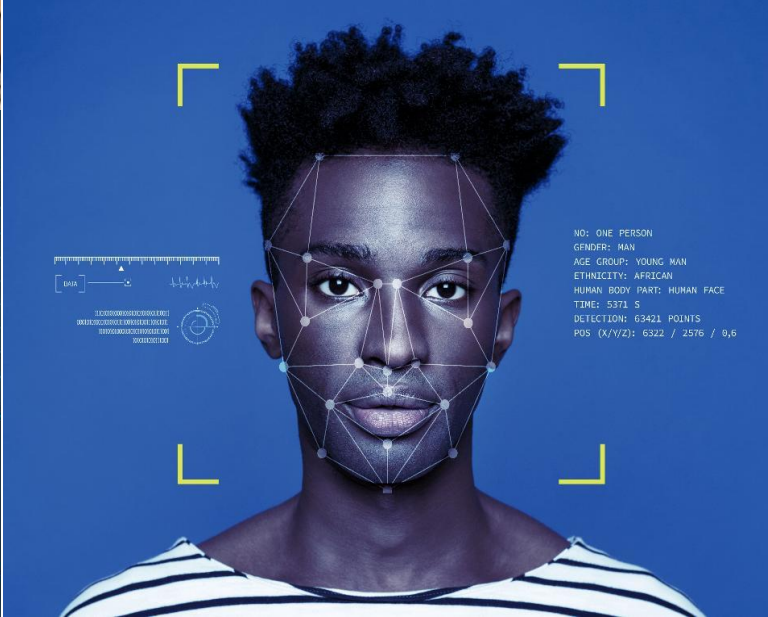




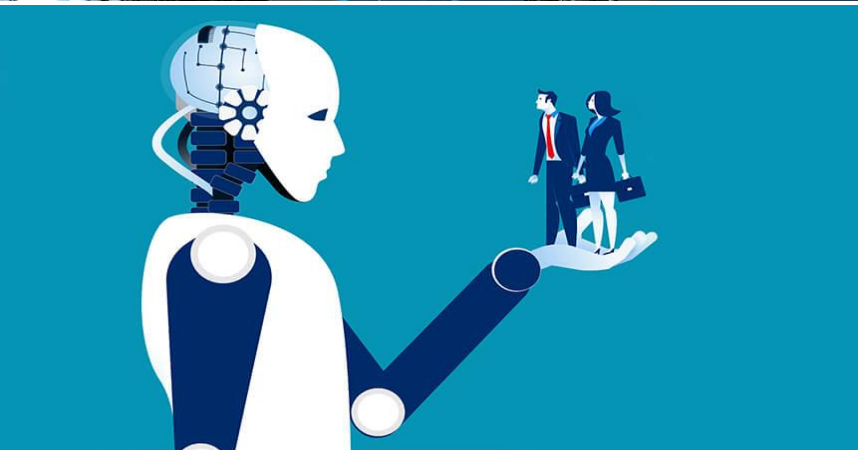


The development of full artificial intelligence could spell the end of the human race.

— Stephen Hawking —



Tom was the first guy losing his job because of Artificial intelligence

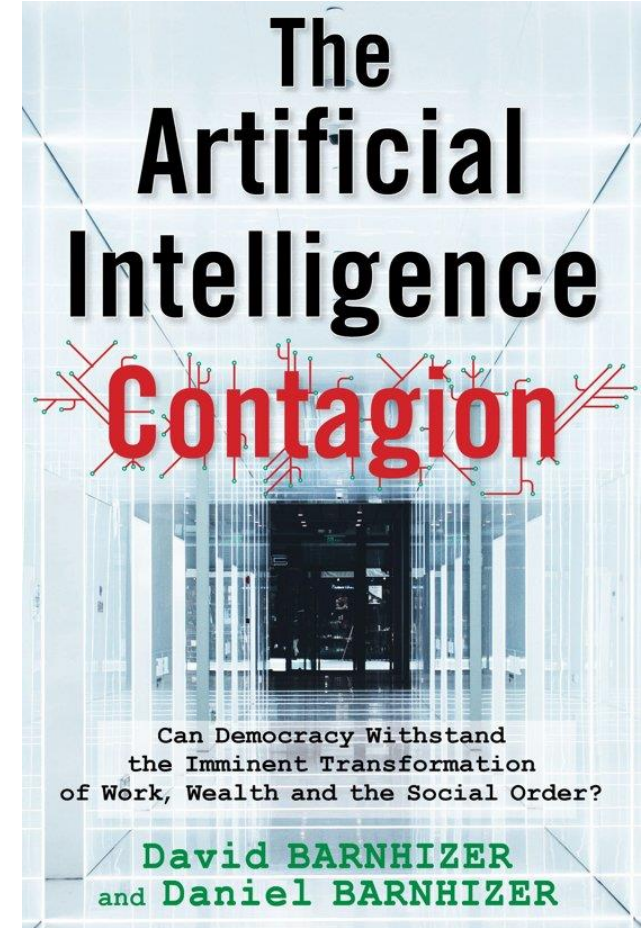
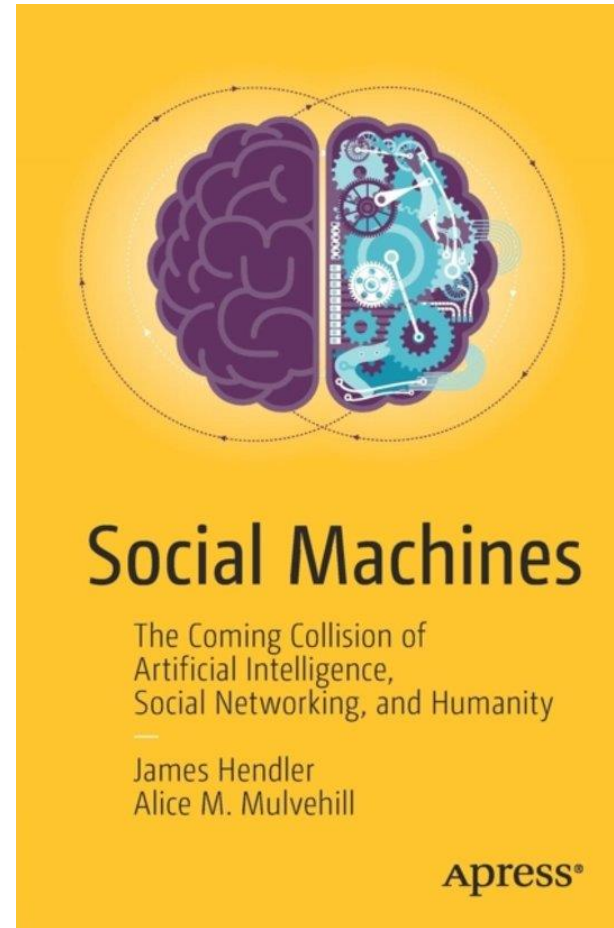
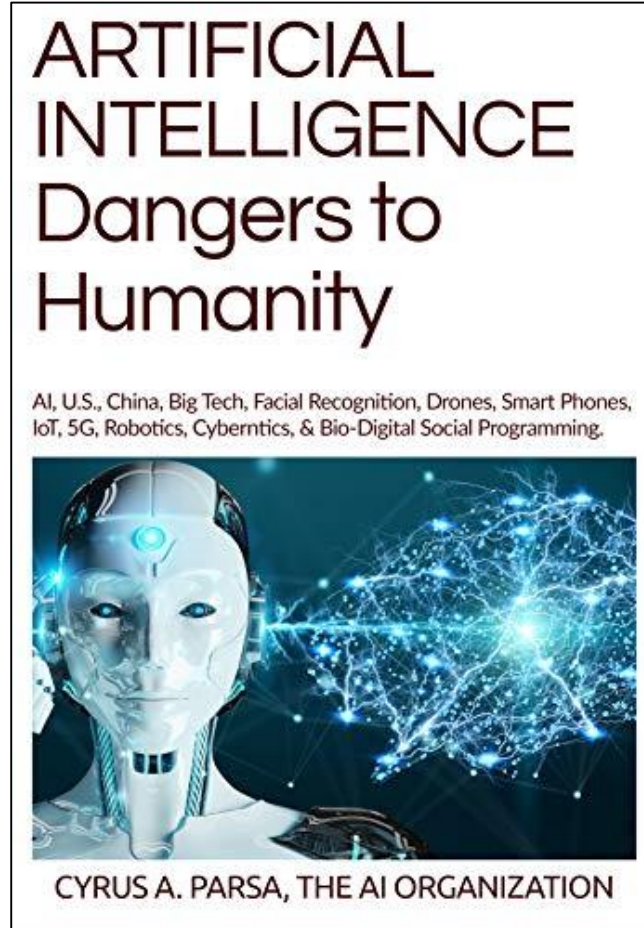
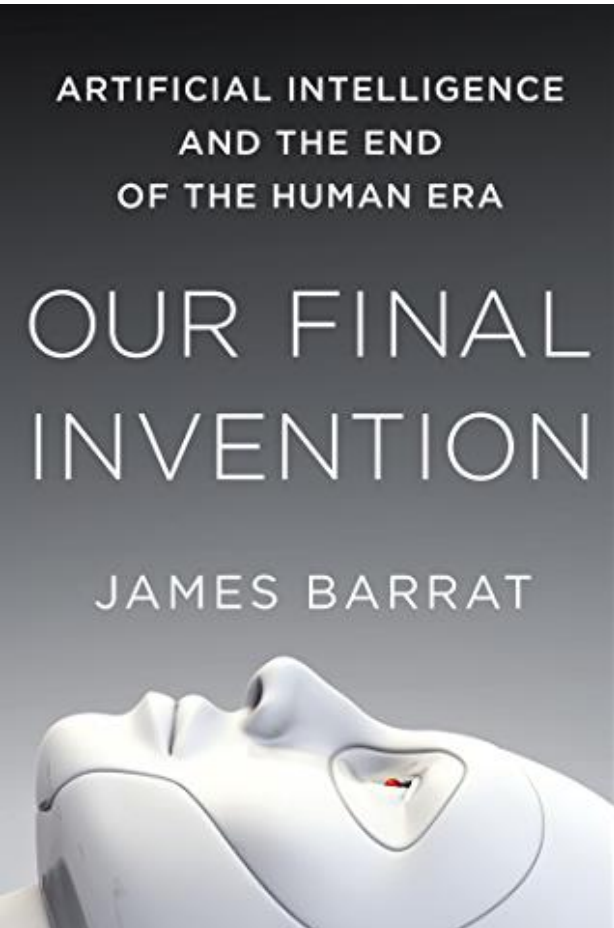








# There are many dystopic visions and warnings



# The EU vision on Human AI is clear



## 7 Key Requirements for Trustworthy AI

- Human agency and oversight
- Robustness and safety
- Privacy and data governance
- Transparency
- Diversity, non-discrimination and fairness
- Societal and environmental well-being
- Accountability



## PILOTING OF THE ETHICS GUIDELINES FOR TRUSTWORTHY AI

**How can developers, deployers or any citizen affected by the use of AI make sure that the 7 Key Requirements are implemented?**

In their founding document, the “**Ethics Guidelines for Trustworthy AI**”, the High-Level Expert Group on AI (AI HLEG) outlined 7 Key Requirements that are complemented by an “**assessment list**” to support their practical implementation.

In June 2019, the Commission launched a **piloting process**, inviting all stakeholders to provide feedback on how this assessment list can be improved.

Interested stakeholders can register and participate in the piloting until the **1st of December 2019**.



# The Dutch AiNed Investment Plan

- **Economic**  
*increasing the number of companies active as well as investment levels in development and application of AI in impactful sectors, missions, platforms*
- **Economic**  
*contributing to economic growth (recovery) for Netherlands (potential 1.6% GDP)*
- **Societal**  
*human centric AI and autonomy (in EU context)*

AiNed  
Strategisch  
Investeringsprogramma  
Artificial Intelligence 2021-2027

Nationaal Groeifonds van het Kabinet Rutte-III

NL AI Coalitie

15 Mei 2020

# The ELSA Concept

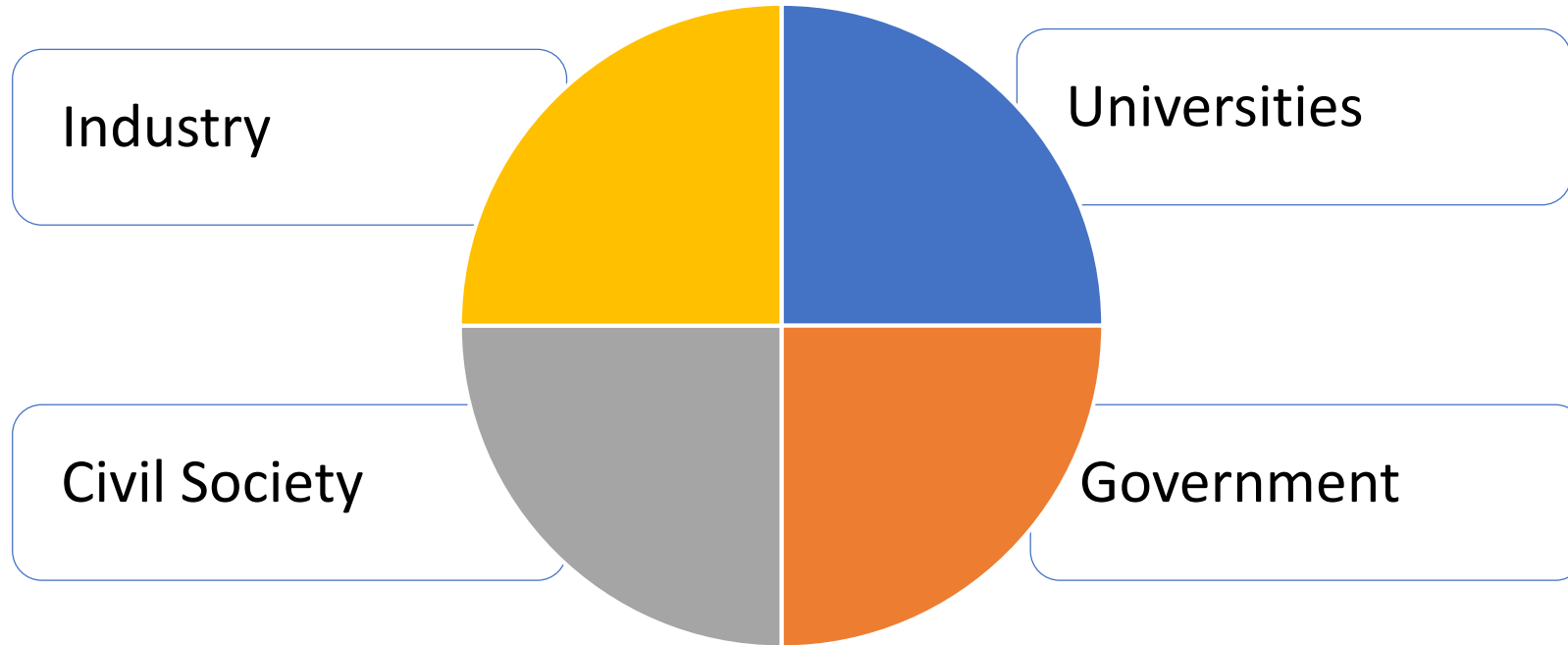
- 1. Participation.** Involvement in large scale (scientific) research into novel technological innovations.
- 2. Anticipation.** Early identification of societal impact and signaling of potential controversies.
- 3. Integration.** Stimulation of active involvement of citizens.
- 4. Interdisciplinary.** Bridging the controversies between disciplines.

Zwart H., Nelis A. (2009), What is ELSA genomics? Science and Society Series on Convergence Research, EMBO Reports 10 (6), 1-5.



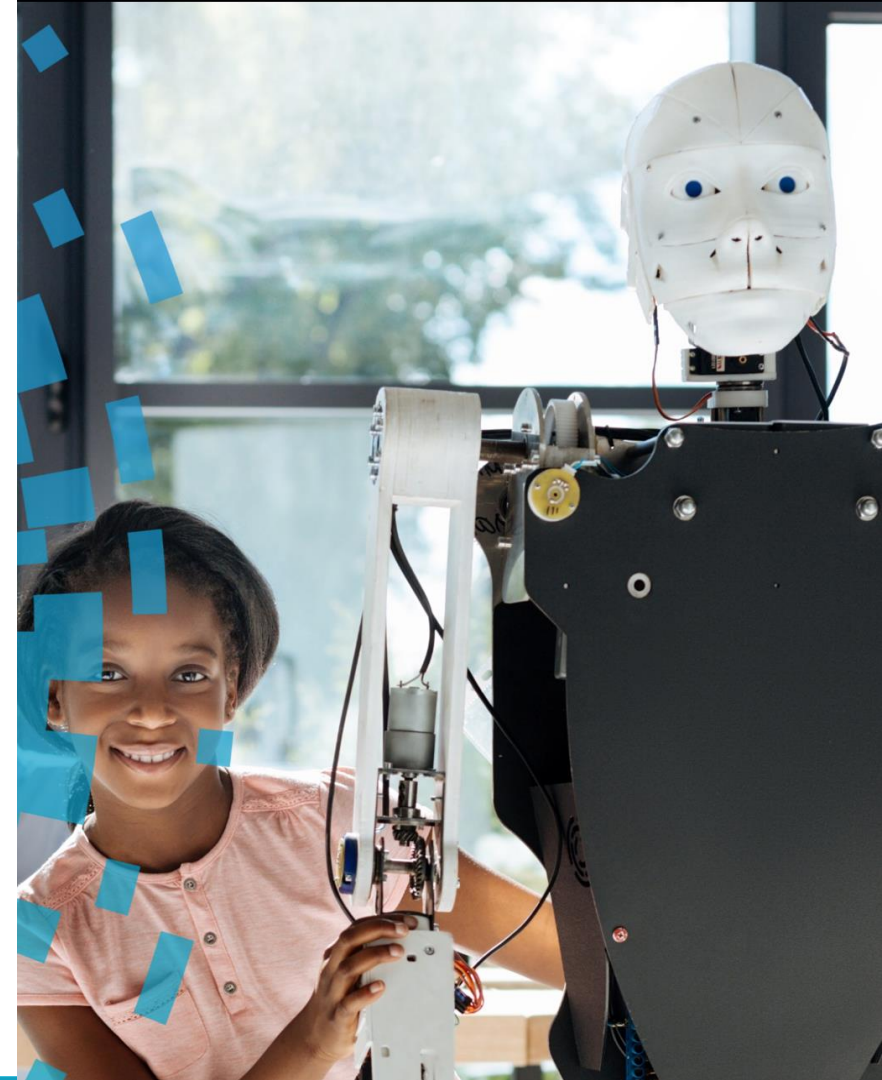
# The Quadruple Helix Innovation Model

An innovation model that exploits the interaction between universities, industry, government, and civil society



Carayannis, Elias G.; Campbell, David F.J. (2009), "'Mode 3' and 'Quadruple Helix': toward a 21st century fractal innovation ecosystem", *International Journal of Technology Management* **46** (3/4): 201.

**ELSALabs are a novel approach to the development of human centered AI solutions to hard societal problems**





# An ELSALabs reference framework

1. ELSALabs **address societally relevant issues** which are aimed at sustainable prosperity in the broadest sense, examples may come from the 17 UN Sustainable Development Goals.
2. ELSALabs **collect validated and documented insights** in a multi-stakeholder context.
3. Solutions are developed **applying design thinking methods** applying improvement cycles in real-life settings.
4. Insights and solutions are generated with **data heavy and algorithm savvy** techniques and methods.
5. In an ELSA Lab **all four innovation helix dimensions assume equal responsibility** for the development and coordination of the portfolio of activities carried out.
6. ELSA Labs apply a communication policy to **share the insights and solutions** with stakeholders and society at large.
7. ELSA Labs take a **responsibility to scale-up** solutions in order to impact society.

# The ELSA concept as part of the AINed strategy

## ELSA (Ethical Legal Societal Aspects):

Learning approach (use cases, co-creation) to AI ELSA and technology development in mutual coherence

Address needs of society at large, as well as partners in the quadruple helix

Medium to higher TRL level (if TRL is applicable at all)

Focus on multidisciplinary ELSA challenges to incentivize human-centric AI technology development

Lab-structure, 5 years, 7 fte, ~4 Meuro\*

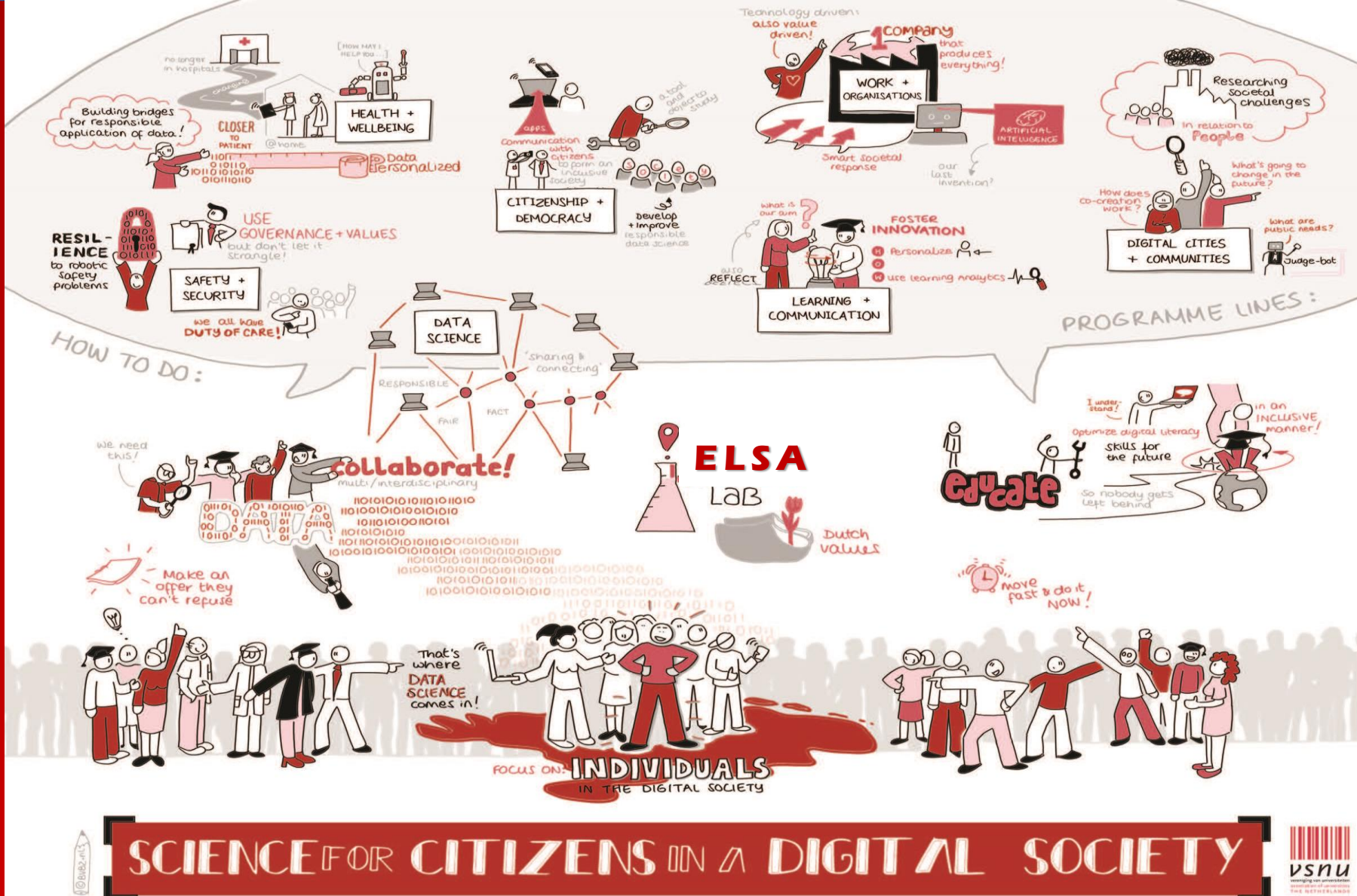
(2021): 10 ELSA labs

One call or selection procedure with NWO, SIA, or SMO and add first money stream to knowledge partners

Strengthen collaboration in quadruple helix and between AI-tech and SSH domain.

Governance through ELSA Board





**SCIENCE FOR CITIZENS IN A DIGITAL SOCIETY**

